



STELLARIS ASSET MANAGEMENT

**Our Vision,
Our Mission &
Business Principles**



Dear Valued Investor,

Since our inception, Stellaris Asset Management has been guided by a clear vision, unwavering integrity, and a commitment to creating long-term value. From the very beginning, we established a set of core principles that defined our capabilities, shaped our culture, and served as the foundation for every decision we make.

Over the years, our organization has evolved and grown, consistently delivering meaningful outcomes for our clients and stakeholders. Throughout this journey, one belief has remained constant: organizations driven by a clear sense of purpose achieve stronger, more sustainable results while creating lasting value for the communities they serve.

Our Mission — Making Dreams Possible for Everyone, Everywhere, Every Day—reflects this commitment. It brings together our values, inspires our people, and guides the way we serve our clients, colleagues, partners, and communities. More than a statement, it represents the essence of who we are and the positive impact we strive to make through every relationship, every investment, and every opportunity.

It is a purpose that unites our organization and defines the legacy we continue to build with confidence, responsibility, and excellence.



Our Foundations:

- **Our Vision** - The positive impact we aspire to create for our clients, communities, and society, and the shared beliefs and principles that shape our culture and guide our decisions.
- **Our Mission** – Our commitment to delivering sustainable growth and long-term value through disciplined investment excellence.
- **Our Business Principles** – The standards of integrity, professionalism, and accountability that define how we conduct business.

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Our Vision

Make dreams possible for everyone, everywhere, every day.

We make impact at scale. We think bigger, chart unique paths and bring more dreams within reach for those we serve. Together, we strive for equity, expand access to economic opportunity and financial health, and work to uncover solutions that change lives.

We are global. We help unlock opportunities and realize dreams of all kinds, for more and more people and organizations around the world. In neighborhoods and nations, families and communities. And for all our people, who are nurturing plans and ambitions of their own.

We are relentless. Since our foundation, we've been in perpetual motion, using our foresight and fortitude to uplift dreams and build for the future. Propelled by progress, we think in decades, not days. Constantly striving for better, our work is never finished.

Our Mission

Our mission is to become one of the world's most respected and trusted financial services firms, recognized for our unwavering commitment to excellence, integrity, and long-term value creation. We strive to build enduring relationships with our clients by delivering innovative financial solutions, insightful investment strategies, and exceptional service that consistently exceed expectations.

We are dedicated to serving the diverse and evolving needs of corporations, institutions, and individuals, providing tailored financial expertise that supports sustainable growth, wealth preservation, and long-term prosperity. By understanding the unique objectives of each client, we develop solutions that are both strategic and responsive to an ever-changing global financial landscape.

Respect is earned through accountability, transparency, and consistently delivering on our commitments. These principles guide every aspect of our business, from the way we manage investments and assess opportunities to the partnerships we cultivate and the communities we support.

As we continue to grow, our ambition extends beyond financial performance. We seek to create lasting economic and social value by fostering innovation, embracing responsible business practices, and empowering our people to achieve the highest standards of professionalism. Through disciplined execution and a client-first approach, we aspire to be the financial partner of choice—one that individuals, businesses, and institutions trust to help them achieve their goals today and for generations to come.

Business Principles

Our business principles are the foundation of everything we do. They define how we strengthen our organization, protect the interests of our stakeholders, and create sustainable long-term value. While no business can guarantee specific outcomes, we are unwavering in our commitment to conducting ourselves with integrity, transparency, and accountability.

We believe lasting success is achieved by doing what is right—not simply what is convenient. By remaining true to our values, we continue to build an organization that our clients, employees, shareholders, and communities can trust and be proud of.

Exceptional Client Service

Our clients are at the heart of every decision we make. We are committed to understanding their unique objectives and delivering solutions that create lasting value while exceeding expectations.

We achieve this by:

- Building trusted, long-term relationships founded on integrity, transparency, and mutual respect.
- Listening to our clients, anticipating their evolving needs, and delivering tailored financial solutions.
- Providing high-quality products and services that are both competitive and aligned with our clients' best interests.
- Maintaining a strong local presence while leveraging our global expertise and capabilities.
- Encouraging innovation, continuous improvement, and responsible decision-making that supports long-term success.
- Acting with fairness and professionalism in every client interaction, ensuring an exceptional service experience.

Our goal is not only to meet our clients' expectations but to become their trusted long-term financial partner.

Operational Excellence

Strong execution is essential to delivering consistent results. Every process, decision, and interaction reflects our commitment to operational excellence, disciplined performance, and responsible stewardship.

Our approach includes:

- Maintaining the highest standards of performance, governance, and accountability.
- Applying rigorous financial management and disciplined risk oversight to protect long-term stability.
- Making informed, fact-based decisions supported by accurate reporting and transparent communication.
- Acting with an ownership mindset by managing resources responsibly and investing strategically in our people, technology, and infrastructure.
- Encouraging collaboration, innovation, and continuous improvement across every area of the business.
- Identifying challenges proactively, responding with urgency, and delivering on our commitments through disciplined execution.

By combining operational excellence with prudent risk management, we strengthen our resilience and position the firm for sustainable growth.

Integrity, Responsibility & Leadership

Our culture is built on integrity, respect, and accountability. These principles guide our decisions, shape our relationships, and define the standards to which we hold ourselves.

We are committed to:

- Upholding the highest ethical standards in every aspect of our business.
- Acting honestly, transparently, and with courage, even in challenging circumstances.
- Fostering an inclusive, respectful, and collaborative workplace where diverse perspectives are valued.
- Attracting, developing, and retaining exceptional talent while promoting teamwork and professional growth.
- Supporting the communities in which we operate through responsible corporate citizenship.
- Leading by example and encouraging open communication, continuous learning, and shared success.

These principles enable us to build enduring relationships, inspire confidence among our stakeholders, and create a culture that supports sustainable growth for generations to come.



Our Values

Our Commitment to Responsible Growth

At the heart of our organisation is an unwavering commitment to ethical conduct, responsible stewardship, and creating long-term value. We believe that integrity is not simply an aspiration—it is the foundation upon which lasting relationships are built. Ethical behaviour must be actively nurtured, consistently demonstrated, and embedded within every aspect of our business, guiding our decisions, actions, and interactions with clients, colleagues, and communities alike.

Our purpose extends beyond delivering financial performance. We are committed to supporting sustainable economic growth by providing investment solutions that help individuals, businesses, and institutions achieve their long-term objectives. Through disciplined investment management and trusted partnerships, we seek to generate enduring value while contributing to stronger, more resilient economies.

Our clients remain at the centre of everything we do. We take the time to understand their goals, challenges, and evolving needs, delivering tailored investment strategies with transparency, professionalism, and accountability. By placing our clients' interests first, we foster relationships built on trust, confidence, and shared success.

We recognise that responsible investing plays a vital role in creating sustainable long-term outcomes. Our investment philosophy incorporates Environmental, Social, and Governance (ESG) considerations alongside rigorous financial analysis, enabling us to identify opportunities, manage risk effectively, and support businesses that demonstrate responsible and sustainable practices. We believe that integrating ESG principles strengthens investment resilience while contributing positively to society and the environment.

Corporate responsibility is central to our culture. We are committed to supporting the communities in which we operate, promoting diversity, equity, and inclusion, and creating opportunities that encourage innovation, entrepreneurship, and sustainable development. Through responsible business practices and active engagement, we strive to make a meaningful and lasting contribution to society.

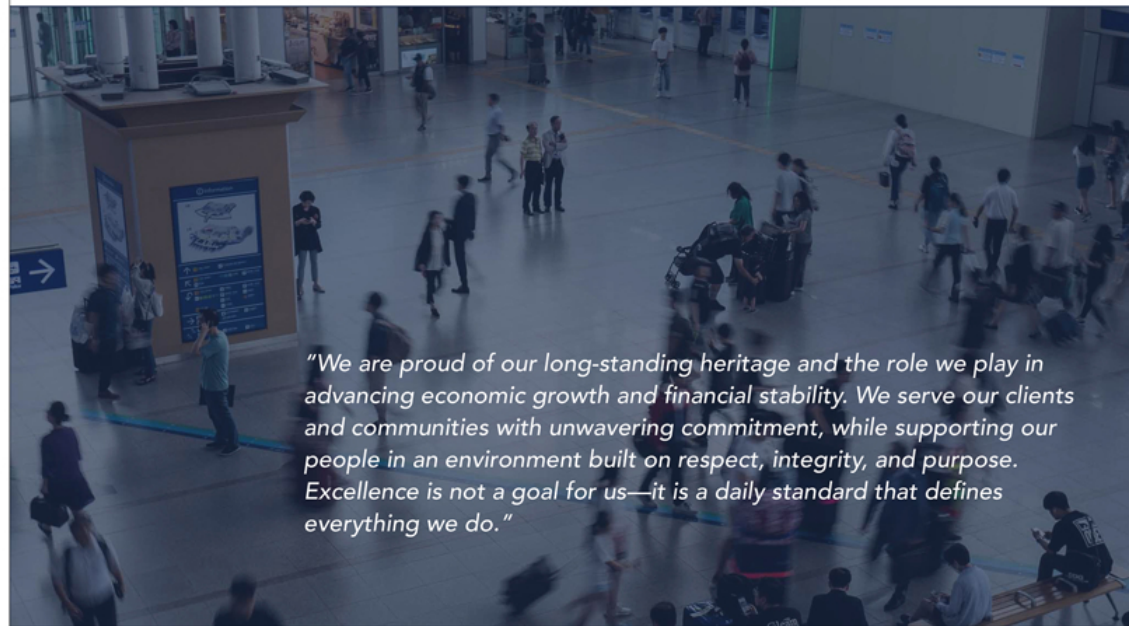
Equally important is our commitment to our people. We foster a collaborative, inclusive, and high-performing workplace where integrity, respect, and continuous learning empower individuals to reach their full potential. By investing in our people and encouraging a culture of excellence, we strengthen our ability to serve clients and deliver sustainable growth.



Our Commitment
to Our Clients

At Stellaris Asset Management, we are committed to delivering operational excellence across every aspect of our business. Through disciplined processes, robust governance, and rigorous risk management, we strive to provide our clients with the highest standards of service, transparency, and reliability. Our objective is to help individuals, families, and institutions achieve long-term financial freedom by developing investment strategies that are carefully aligned with their goals, risk appetite, and evolving financial needs. Every decision we make is guided by our commitment to creating sustainable value and preserving the trust our clients place in us.

Integrity, accountability, and ethical responsibility are the cornerstones of our investment philosophy. We place our clients' interests at the centre of everything we do, ensuring that every recommendation and investment decision is supported by comprehensive research, thorough due diligence, and disciplined market analysis. By combining independent thinking with a long-term perspective, we seek to identify high-quality investment opportunities that deliver consistent value while managing risk responsibly. We believe that lasting success is built on honesty, professionalism, and an unwavering commitment to acting in the best interests of our clients, creating enduring partnerships founded on trust, confidence, and shared prosperity.



"We are proud of our long-standing heritage and the role we play in advancing economic growth and financial stability. We serve our clients and communities with unwavering commitment, while supporting our people in an environment built on respect, integrity, and purpose. Excellence is not a goal for us—it is a daily standard that defines everything we do."



Contact Us

Please get in touch for a free consultation
in order to start your financial journey with us.

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Stellaris Asset Management Limited 麟星資產管理有限公司 (CE Number BLF192) is a licensed corporation in Hong Kong regulated by the Securities and Futures Commission (SFC). Stellaris Asset Management Limited is licensed for Type 4 and Type 9 regulated activity (Asset Management).

Regulated Activity | Advising on Securities (RA4) | Asset Management (RA9)

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